## Approximate

 Homomorphic EncryptionMOTIVATION, CONSTRUCTION, APPLICATIONS

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UCSD

## What is the Next Goal?

"HE system can evaluate an arbitrary circuit in a polynomial time."

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Cryptography community has improved the Efficiency of HE system.

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Reduction of "Gap" between Real and Encrypted computations.

Datatypes and operations

- Boolean Circuit (Bit Operation)
- Integer Operations
- Modular Arithmetic
- Approximate Arithmetic (Fixed/Floating-point Operation)
- Logical Operations (If \& Else statement)


## Homomorphic Encryption Schemes

| Scheme | Plaintext Slot | Good | Bad | Library |
| :---: | :---: | :---: | :---: | :---: |
| "Word Encryption" <br> Brakerski-Gentry-Vaikuntanathan'12 <br> Gentry-Halevi-Smart'12a,b,c <br> Brakerski'12, Fan-Vercauteren'12 <br> Halevi-Shoup'13,14,15 | $\mathrm{GF}\left(\mathrm{p}^{\mathrm{d}}\right)\left(\mathrm{Z}_{\mathrm{p}}\right)$ | Polylog overhead (Amortized time \& Expansion rate) | Bootstrapping | HElib SEAL ... |
| Gentry-Sahai-Waters'13 |  |  |  |  |
| "Bitwise Encryption" <br> Ducas-Micciancio'15 <br> Chillotti-Gama-Georgieva-Izabachene’16,17 |  |  |  |  |

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| Gentry-Sahai-Waters'13 | $\mathrm{Z}, \mathrm{Z}[\mathrm{X}](\{0,1\})$ | Beauty () | Inefficient |  |
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## Application Researches of HE (2017~2018)

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| - Machine Learning: | 11 | (2018/233,202,139,074, 2017/979,715. |
| :---: | :---: | :---: |
|  |  | SSCI, IEEE Access, IEEE Journal, ICCV, SMARTCOMP) |
| - Neural Network: | 2 | (2018/073, 2017/1114) |
| - Genomic Data: | 7 | (2017/955,770,294,228. EUSIPCO, SMARTCOMP, IEEE Journal) |
| - Health Data: | 2 | (IBM Journal, IEEE Journal) |
| - Biometric Data: | 2 | (IEEE Access, IEEE Conference) |
| - Energy Management: | 3 | (2017/1212. IEEE Big Data, IET Journal) |
| - Big Data: | 1 | (ICBDA) |
| - Advertising: | 1 | (WIFS) |
| - Internet of Things: | 1 | (IWCMC) |
| - Election: | 1 | (2017/166) |

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## How to perform Approximate Arithmetic on HE?

1.234 * $0.689 * 2.194 * 0.917$ * $3.323 * 4.154 * 0.489 * 3.772=$ ?

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- Represent a real number as an integer.
- No Rounding operation is very expensive.


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$1,234 * 689 * 2,194 * 917 * 3,323 * 4,154 * 489 * 3,772=4,355,296,408,921,213,975,719,328>2^{85}$.


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## Base Encoding [DGL+'15, CSCW'16, CLPX'17 (High-precision HE)]

- Express a real number as a (small) polynomial. e.g. (1.234) $\rightarrow\left(1+2 X^{-1}+3 X^{-2}+4 X^{-3}\right)$


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- Express a real number as a (small) polynomial. e.g. (1.234) $\rightarrow\left(1+2 X^{-1}+3 X^{-2}+4 X^{-3}\right)$
- Exponential growth of Degree
- Trade-off between Precision \& Number of slots
- No Bootstrapping


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Bitwise Encryption

- 0.06 sec for (2-to-1) gate.
- 10 sec for (6-to-6) circuit.


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## Bitwise Encryption

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75 Gates for an operation on two four-bit strings.

How many gates for
16-bit / 32-bit precision multiplication?


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| "Approximate Encryption" <br> Cheon-Kim-Kim-Song'17 <br> Cheon-Han-Kim-Kim-Song'18 | Complex (Real) Numbers | Fixed-point Arithmetic. <br> Polylog overhead. |  | HEAAN (慧 眼) |

## Approximate Homomorphic Encryption

Motivation: Imitate the approximate arithmetic on computer system.

$$
1.234 * 0.689=\left(1,234 * 10^{-3}\right) *\left(689 * 10^{-3}\right)
$$

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Idea 1. Every number contains an Approximation Error (between unknown true value).
Consider an RLWE error as part of it.

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$$
\mathrm{ct}=\mathrm{Enc}(\mathrm{~m}) \text { if }[<\mathrm{ct}, \mathrm{sk}>]_{\mathrm{q}}=\mathrm{m}+\mathrm{e} \approx \mathrm{~m} .
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Approximate HE: (1.234) $\Rightarrow$ (scale by $\left.p=10^{4}\right) \Rightarrow 12,340$.

$$
\Rightarrow(\text { Encrypt }) \Rightarrow[<\mathrm{ct}, \mathrm{sk}\rangle]_{\mathrm{q}}=12,342 \approx 1.234 * 10^{4} .
$$

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$$

Idea 2. Approximate Rounding is easy!

$$
\begin{aligned}
& <\mathrm{ct}, \mathrm{sk}>=\mathrm{m}(\bmod \mathrm{q}) \\
& \mathrm{ct} \mapsto \mathrm{ct}^{\prime}=\left\ulcorner\mathrm{p}^{-1} * \mathrm{ct}\right\lrcorner \\
& <\mathrm{ct}^{\prime}, \mathrm{sk}>\left(\bmod \mathrm{p}^{-1} \mathrm{q}\right) \approx \mathrm{p}^{-1} \mathrm{~m}
\end{aligned}
$$

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```
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3.772
```


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$1.234 * 0.689 * 2.194 * 0.917 * 3.323 * 4.154 * 0.489 * 3.772=$ ?

| 1.234 |  | 12,340 |  | 12,337 |
| :---: | :---: | :---: | :---: | :---: |
| 0.689 |  | 6,890 |  | 6,893 |
| 2.194 |  | 21,940 |  | 21,941 |
| 0.917 | - | 9,170 | - | 9,175 |
| 3.323 |  | 33,230 |  | 33,225 |
| 4.154 |  | 41,540 |  | 41,543 |
| 0.489 |  | 4,890 |  | 4,892 |
| 3.772 |  | 37,720 |  | 37,718 |

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| 8,499 |
| ---: |
| 20,125 |
| 138,021 |
| 18,451 |

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## Functionality of Approximate HE

## Packing Technique

- $\mathrm{R}=\mathrm{Z}[\mathrm{X}] /\left(\Phi_{\mathrm{m}}(\mathrm{X})\right.$ ).
- $\Phi(X)=\prod_{i}\left(X-\zeta_{i}\right)$ where $\zeta_{i}$ 's are $m$-th roots of unity.
- Encoding map: from $\left(M_{i}\right)_{i}$ to $M(X)$ such that $M\left(\zeta_{i}\right)=M_{i}$


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Rotation, Conjugation

- Evaluation of $\sigma(X)=X^{k}$ in $\operatorname{Gal}\left(K=Q[X] /\left(X^{N}+1\right) / Q\right)$.
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Evaluation of Analytic Functions

- $\exp (z)$,
- $\mathrm{z}^{-1}$


## Bootstrapping for the Approximate HE (EC'18)

Decryption circuit

- $M=<c t, s k>(\bmod q)$.
- Goal: Represent modular reduction as a circuit over the complex numbers.



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## Bootstrapping for the Approximate HE (EC'18)

Decryption circuit
$=M=<c t, s k>(\bmod q) . \quad M \approx(q / 2 \pi) \sin \theta, \quad \theta=(2 \pi / q)<c t, s k>$.

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Evaluation of sine

- $\cos \theta=\cos ^{2}(\theta / 2)-\sin ^{2}(\theta / 2), \quad \sin \theta=2 \cos (\theta / 2) \sin (\theta / 2)$.


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Evaluation of sine

- $\cos \theta=\cos ^{2}(\theta / 2)-\sin ^{2}(\theta / 2), \quad \sin \theta=2 \cos (\theta / 2) \sin (\theta / 2)$.
- From $\left[-2 K \pi / 2^{r}, 2 K \pi / 2^{r}\right]$ to $[-2 K \pi, 2 K \pi]$.
- Linear Complexity for Modulus Reduction Operation!
- <ct',sk> $(\bmod Q) \approx M$


## Following Work

Privacy-preserving Training of Logistic Regression Model

- Kim-Song-Wang-Xia-Jiang, JMIR Med Inform'18
- Kim-Song-Kim-Lee-Cheon, iDASH P\&S Workshop'17, BMC Med Genomics'18 (in submission).
e.g. Six minutes to obtain a LR model from dataset of size 1579 * (18+1).
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## A Full-RNS Variant of Approx-HE

- Double-CRT (RNS+NTT) representation.
- Implementation without CRT composition or big-integer library.
- Based on the use of approximate basis \& approximate modulus switching.


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Open problems??

Homomorphic Encryption Framework

|  |  |  |
| :---: | :---: | :---: |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |

Homomorphic Encryption Framework


Homomorphic Encryption Framework (Encryption)
$\operatorname{Enc}\left(m_{1}\right) \quad m_{1}$

$$
\stackrel{\bullet}{\mathrm{m}_{2}} \operatorname{Enc}\left(\mathrm{~m}_{2}\right)
$$

Homomorphic Encryption Framework (Addition)


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Homomorphic Encryption Framework (Addition)


Homomorphic Encryption Framework (Multiplication)

Enc $\left(m_{1}\right)^{\bullet}{ }^{\bullet} m_{1}$

$$
\stackrel{\bullet}{m_{2}} \operatorname{Enc}\left(m_{2}\right)
$$

Homomorphic Encryption Framework (Multiplication)


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$m_{3}=m_{1}{ }^{*} m_{2}$
$\operatorname{Enc}\left(m_{1}\right) * \operatorname{Enc}\left(m_{2}\right)$

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$\underset{\operatorname{Enc}\left(m_{1}\right)}{\bullet}{ }^{\bullet} \mathrm{m}_{1}$

$$
\stackrel{\bullet}{m_{2}} \cdot \operatorname{Enc}\left(m_{2}\right)
$$

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$m_{3}=m_{1}{ }^{*} m_{2}$

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\operatorname{Enc}\left(m_{1}\right) \bullet m_{1}
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## Approximate Homomorphic Encryption

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$$
\begin{aligned}
& \ldots \\
& m_{1}
\end{aligned} \cdots \cdots \cdots \cdot m_{2}
$$

## Approximate Homomorphic Encryption (Encryption)

$$
\begin{gathered}
\mathrm{m}_{1}^{\prime}=\mathrm{m}_{1}+\mathrm{e}_{1} \\
\mathrm{~m}_{1}
\end{gathered}
$$

$$
\begin{aligned}
& m_{2} \\
& m_{2}^{\prime}=m_{2}+e_{2}
\end{aligned}
$$

## Approximate Homomorphic Encryption (Operations)



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## Approximate Homomorphic Encryption (Operations)

$$
m_{1}+m_{2} \cdot m_{1}^{\prime}+m_{2}^{\prime}
$$



- $\mathrm{m}_{2}$ $m_{2}^{\prime}$


## Approximate Homomorphic Encryption (Operations)

- $m_{1}{ }^{*} m_{2}$

$$
m_{1}+m_{2}, m_{1}^{\prime}+m_{2}^{\prime}
$$

$$
\begin{array}{lll}
m_{1}^{\prime} & & m_{2} \\
0 & m_{1}^{\prime} \\
0 & & \\
0 & & \\
0 & & \\
0 & & \\
0 & &
\end{array}
$$

## Approximate Homomorphic Encryption (Operations)

$$
\mathrm{m}_{1}^{\prime} * \mathrm{~m}_{2}^{\prime} \approx \mathrm{m}_{1}^{*} \mathrm{~m}_{2}
$$

- $m_{1}{ }^{*} m_{2}$

$$
m_{1}+m_{2}, m_{1}^{\prime}+m_{2}^{\prime}
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## Approximate Homomorphic Encryption (Operations)

$\mathrm{m}_{1}{ }^{*} \mathrm{~m}_{2}{ }^{\prime}$

- $m_{1}{ }^{*} m_{2}$

$$
m_{1}+m_{2} \cdot m_{1}^{\prime}+m_{2}^{\prime}
$$

$$
\begin{gathered}
\mathrm{m}_{1}^{\prime} \\
\bullet \\
\bullet \\
{ }^{\bullet} \mathrm{m}_{1}
\end{gathered}
$$

- $\mathrm{m}_{2}$
$m_{2}^{\prime}$


## Approximate Homomorphic Encryption (Operations)

$m_{1}{ }^{*} m_{2}{ }^{\prime}$

