## Bootstrapping for Approximate Homomorphic Encryption

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"Word Encryption" (BGV12, Bra12, FV12)

Packing \& SIMD operations on GF(pd) between RLWE ciphertexts

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Eval. of LUTs on $\{0,1\}^{*}$ with bootstrapping on LWE ciphertext (<-> RLWE <- RGSW)

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\begin{aligned}
& {[<\mathrm{ct}, \mathrm{sk}>]_{\mathrm{q}}=\mathrm{M}} \\
& \text { HomRnd : ct } \mapsto \mathrm{ct}^{\prime}=\left\ulcorner\mathrm{p}^{-1} \cdot \mathrm{ct}\right\lrcorner \\
& \Rightarrow\left[<\mathrm{ct}{ }^{\prime}, \mathrm{sk}>\right]_{\mathrm{q} / \mathrm{p}} \approx \mathrm{M} / \mathrm{p}
\end{aligned}
$$

$$
(1.234) \times(5.678)=(1,234 \times 5,678) \times 10^{-6}=(7,006,652) \times 10^{-6} \approx(7,007) \times 10^{-3} .
$$

## Functionality of Approximate HE

Packing Technique

- $K=Q[x] /\left(\Phi_{m}(x)\right), R=Z[x] /\left(\Phi_{m}(x)\right)$.
- $\Phi_{m}(X)=\prod_{i}\left(x-\zeta_{i}\right)$ for the primitive m-th roots of unity $\zeta_{i}$.
- Encoding map: $\left(M_{i}\right)_{i} \mapsto M(X)$ such that $M\left(Z_{i}\right)=M_{i}$

Approximate addition, multiplication, and rounding

- Every homomorphic operation includes a small noise

Evaluation of Analytic Functions

- $\exp (z)$,
- $z^{-1}$


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"Approximate Encryption" (CKKS17)

Packing \& SIMD operation over the real/complex numbers (add, mult + rounding) between RLWE ciphertexts

## Application Researches of HE (2017~)

- Machine Learning \& Neural Networks: 7
- Biomedical \& Health data analysis: 3
- Bioinformatics: 3
- Genomic data analysis: 3
- Cyber Physical System \& Internet of Things: 4
- Smart Grid: 3
- Image processing: 3
- Voting: 2
- Advertising: 2
[Kim-Song-Kim-Lee-Cheon'18] iDASH Privacy \& Security Competition 2017 Six minutes to train a logistic regression model from encrypted dataset of size 1579 * (18+1).


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Idea 1: <ct, sk> = $q \cdot t+M$ for some small $|t|<K=|s k|_{1}$. $\mathrm{ct}=\mathrm{Enc}(\mathrm{q} \cdot \mathrm{t}+\mathrm{M})$ with a ciphertext modulus $\mathrm{q}^{\prime} \gg \mathrm{q}$.

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How to (efficiently) evaluate the modular reduction $(q \cdot t+M) \mapsto M$ ?

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Correctness Large error on the boundary


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Idea 2: Start bootstrapping when $|\mathrm{M}| \ll \mathrm{q}$.
Use the formula $M \approx(q / 2 \pi) \cdot \sin [(2 \pi / q)(q \cdot t+M)]$.


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Degree $\quad d=O(K q)$ to achieve $R_{d}=O(1)$.
Complexity $\mathrm{O}(\mathrm{Kq})$ operations.


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Idea 3: Double-angle formula

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\cos \theta=\cos ^{2}(\theta / 2)-\sin ^{2}(\theta / 2), \quad \sin \theta=2 \cos (\theta / 2) \cdot \sin (\theta / 2)
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Low-degree Taylor series of $\cos \left(\theta / 2^{r}\right), \sin \left(\theta / 2^{r}\right)$ for some $r=O(\log (K q))$ \& Recursive evaluation (r iterations) to get an approximate value of $(\sin \theta)$.

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- Efficiency

Depth: $\quad L=r+O(1)=O(\log (K q))$.
Complexity: $\mathrm{O}(\mathrm{L})$ operations. Linear on the depth!

## Summary

- $c t=\operatorname{Enc}(M)(\bmod q)$ is an encryption of $(q \cdot t+M)$ in a large modulus.
- Approximation of Modular reduction $(q \cdot t+M)_{q}=M$ using a trigonometric function.
- Recursive evaluation strategy to reduce the computational costs.


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- $c t=\operatorname{Enc}(M)(\bmod q)$ is an encryption of $(q \cdot t+M)$ in a large modulus.
- Approximation of Modular reduction $(q \cdot t+M)_{q}=M$ using a trigonometric function.
- Recursive evaluation strategy to reduce the computational costs.
$\checkmark$ No Bootstrapping Key.
$\checkmark$ Linear Complexity on the depth $\mathrm{L}=\mathrm{O}\left(\log \left(|\mathrm{sk}|_{1} \cdot q\right)\right)$ of decryption circuit.
$\checkmark$ Small Memory : 1 ciphertext encrypting $\exp (i \cdot \theta)=\cos \theta+i \sin \theta$.
$\checkmark$ Implication: Machine Learning, Cyber-Physical System


## Comparison \& Experimental Results

| HS15, CH18 |
| :--- |
| Ours |


|  | Coeff To Slots <br> $\tilde{O}(1)$ per slot | Bit/Digit Extraction |
| :--- | :--- | :---: |
|  | Slots To Coeff |  |
|  | Sine Evaluation | $\tilde{O}(1)$ per slot |

DM15,CGGI16
Accumulator: O(n) operation / 1 slot
$=$ Digit Extraction: $6 \mathrm{~s}\left(\mathrm{Z}_{127}\right)$. 30s $\left(\mathrm{Z}_{127^{2}}\right)$. 15s $\left(\mathrm{Z}_{2^{6}}\right)$. 239s $\left(\mathrm{Z}_{2^{8}}\right)$.

- Sine Evaluation: 12.5s (12-bit precision). 68s (24-bit precision).
[Song-Han-Kim-Kim-Cheon 18] Full Residue Number System: $8 \mathrm{x} \sim 12 \mathrm{x}$ speedup
- Accumulator:
0.06 s ( 1 bit ). 10s (6 bits)


